Our Vision:
Children thrive in family environments free from abuse and neglect.

Our Mission:
Successfully engage children and families to ensure safety, strengthen families, and achieve permanency.

CHILDS Replacement Program (Guardian)

State of Arizona – Department of Child Safety

March 17, 2018

Status Through: February 28, 2018

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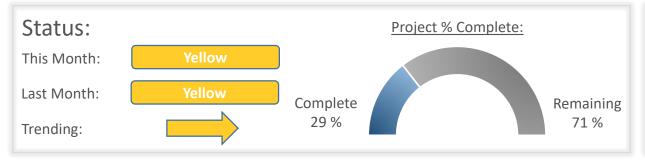- Current Financial Position
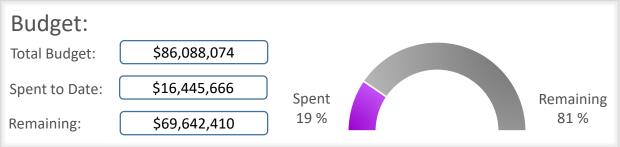
Guardian: Program Snapshot

Overview:

- Installation of 9300s underway.
- Went live with OLR on OnBase
- Components Intake, Assessment and Case Management Draft User Stories completed and ready for validation
- Components Permanency and Financial Management User Stories in Development with BA's and Guardian Specialists

Guardian Program		Status			Completion Rate		
Program Status	**Project**	*State*	*R/A/G*	*Status*	*Overall %*	*Trending*	*Current Project Phase*
Y	Platform	COMPLETE	🟢	COMPLETE |7.14.17	**100%**	*	Phase 5: Final
	Mobile	COMPLETE	🟢	COMPLETE | 12.16.17	**100%**	*	Phase 5: Final
	Hosting (9300 Install)	Active	🟡	IN PROGRESS	**21%**	⮕	Phase 4: Delivery
	Technical Integration	Active	🟡	IN PROGRESS	**19%**	⮕	Phase 3: Initiation
	Childs Decommisioning	NOT STARTED	⚪	NOT STARTED	*	*	*

Status:

This Month: **Yellow**

Last Month: **Yellow**

Trending: ⮕

Project % Complete:

Complete 29 %

Remaining 71 %

Budget:

Total Budget: $86,088,074

Spent to Date: $16,445,666

Remaining: $69,642,410

Spent 19 %

Remaining 81 %

Guardian: Program Status Update

Key Accomplishments

BPM
- Delivered Finance and Eligibility Content for BA input to User Stories
- Delivered Draft Enterprise Data Management Strategy

OCM
- Completed outreach regarding case- v. child-centric approach
- Provided Mobile metrics and KPIs for January.
- Conducted Central Office "road show" Guardian Overview

Enterprise Content Management
- Went live with OLR on OnBase
- Migrated historic documents for the years 2013 through 2018 to OnBase

Technical Integrator
- Hired new data architect, OCM Lead
- 2 of 7 Guardian Specialists have been hired and on boarded for Financial Management and Permanency
- Identify new Technical PM Mike Jarvis
- Draft Intake User Stories sent to PAC for review
- Case Management User Stories validated
- Developed Milestone Schedule for User Story Validation (start of Metrics)

Hosting
- Developed architecture for the 9300s in the DCS environment
- Procured and installed required cabling based on the newly developed architecture
- Received new project schedule from the vendor. Project scheduled completion date is now 7/2/18, which includes 90 days of monitoring the network prior to tuning

Upcoming Accomplishments

BPM & OCM
- Deliver Common and Provider Management Content for BA input to User Stories
- Deliver Data Profiling and mapping
- Create three engagement teams to facilitate Guardian Transition activities
- Schedule and conduct engagement team meetings
- Draft Coaching Plan
- Draft Stakeholder Management Plan
- Combine Guardian Program Communications Plan with Organizational Outreach Coordination Plan

Enterprise Content Management
- Migrate historic files for the years 2007 through 2012 to OnBase

Technical Integration
- Target hiring of 2 more Guardian Specialists by March 5, 2018; Hire new BA
- Schedule LEAN training for Guardian project team re: Huddle Board metrics
- Start up development of Integrated Schedule through to Go Live
- Guardian Components Requirements:
  - Intake: 1st Pilot for All Hands User Story reviews week of March 20
  - Assessments: send draft User Stories to PAC for review
  - Case Management send draft User Stories to PAC for review
  - Permanency: Complete 1st draft of User Stories due for Business Lead validation; working with new Guardian Specialist
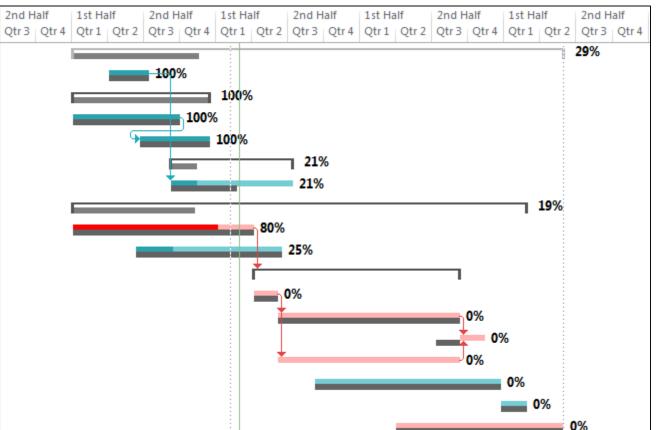 - Financial Mgmt: developing User Stories with Guardian Specialist and DCS SME's

Hosting
- Install and configure the 9300s

Guardian: Program Road Map

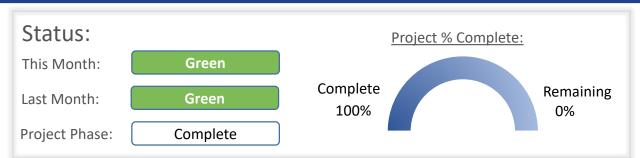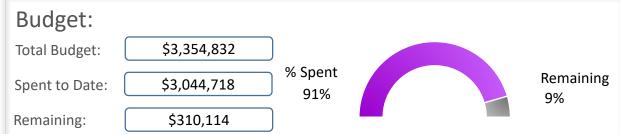| Task Name	% Complete	Start	Finish
Guardian Program	**29%**	**Mon 1/2/17**	**Fri 5/29/20**
Platform	100%	Mon 4/3/17	Fri 7/14/17
Mobile Solution	**100%**	**Mon 1/2/17**	**Fri 12/15/17**
Release 1	100%	Mon 1/2/17	Fri 9/29/17
Release 2	100%	Tue 6/20/17	Fri 12/15/17
Hosting	**21%**	**Fri 9/8/17**	**Thu 7/12/18**
9300 Project	21%	Fri 9/8/17	Thu 7/12/18
Technical Integrator	**19%**	**Mon 1/2/17**	**Thu 2/27/20**
RFP/Procurement	80%	Mon 1/2/17	Thu 4/5/18
Requirements	25%	Mon 6/12/17	Fri 6/15/18
Configuration	**0%**	**Fri 4/6/18**	**Tue 9/10/19**
Components	0%	Fri 4/6/18	Wed 6/6/18
ECM	0%	Thu 6/7/18	Tue 9/10/19
Report/Interfaces	0%	Wed 9/11/19	Mon 11/11/19
Data Management	0%	Thu 6/7/18	Tue 9/10/19
Testing/Training	0%	Sun 9/9/18	Sun 12/22/19
Transition/Go-Live	0%	Sun 12/22/19	Thu 2/27/20
CHILDS Decommissioning	0%	Mon 4/1/19	Fri 5/29/20

Additional Information

- Mobile Status
- Technical Integration Status
- Hosting Status
- Program Governance
- Program Projects, Support and Services
- Current Program Development Forecast: by Fiscal Year / Project
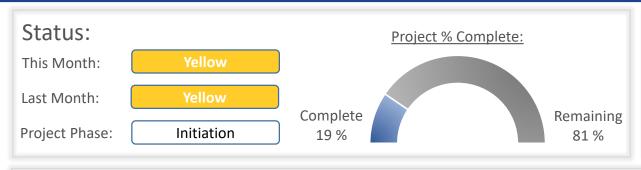- Current Program Financial Position

Guardian: Mobile

Status:

This Month: **Green**

Last Month: **Green**

Project Phase: Complete

Project % Complete:

Complete 100%

Remaining 0%

Budget:

Total Budget: $3,354,832

Spent to Date: $3,044,718

Remaining: $310,114

% Spent 91%

Remaining 9%

Overview:

Release 2 successfully deployed on time in December

Key Accomplishments	Risks/Issues	Upcoming Accomplishments
Release 2 deployed 12/16/17	None	None

Guardian: Technical Integration

Status:

This Month:	**Yellow**
Last Month:	**Yellow**
Project Phase:	Initiation

Project % Complete:

Complete 19 %

Remaining 81 %

Budget:

Total Budget:	$33,533,536
Spent to Date:	$165,179
Remaining:	$33,368,357

Spent %0.49

Remaining 99.51%

Overview:

Technical Integrator Sub-Projects: Intake, Assessment, Case Management, Finance, Provider Management, Permanency, Enterprise Content Management and Data Management.

Current status remains at yellow to reflect Issue #1 – Procurement advisement that RFP award date now delayed to 04/2018

Hiring 8 Guardian Specialists - 3 hired to date; remaining to be onboarded in March & April

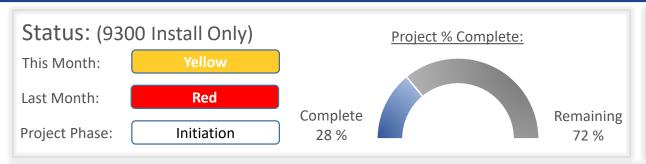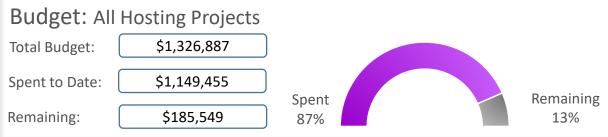| Key Accomplishments	Risks/Issues	Upcoming Accomplishments
Business Requirements: • Scheduled All Hands 3 day Sessions (to validate User Stories) with Topic SME's for 3 components Intake, Assessments and Case Management • Completed high level requirements for Intake, Assessments and Case Management • Completed Child Welfare Policy and Process Map documentation to support User Story development of components Case Management, Financial Management and Eligibility • Onboard first 2 Guardian Specialists **Transition Management:** • Sponsor Workgroup kickoff completed • Pathfinders Workgroup kickoff scheduled • Completed draft of Pathfinders Workgroup Kickoff presentation	• DCS SME attendance and timely feedback on business requirements could impact quality of deliverable • Business Leads availability to the project needs to be increased (request 50% until Vendor onboard) • Hire 5 Guardian Specialists by April 2018 • If the Guardian project scope for AFCARS is not in production by October 2019, the State may need to decide to have 2 tracks to comply with the new format and data fields - Guardian & CHILDS. • Requirements were not listed in the RFP; the understanding is that the vendors know requirements are documented; may impact scope management and change control	• Onboard Guardian Specialist (GS) for Assessments 03/19/18 • Finalize onboarding & orientation packet for Guardian Specialists 03/16/18; Schedule onboard session • Finalize All Hands Sessions Presentation template • Deliver Permanency User Story content & schedule first validation session with Business Leads • Start User Story development for components Common and Eligibility • Hold 3 day User Story validation sessions with DCS Topic SMEs for component Intake • Develop Huddle Board metrics • First draft of Integrated Guardian Schedule • Complete Coaching Plan draft

Guardian: Hosting

Status: (9300 Install Only)

This Month:	**Yellow**
Last Month:	**Red**
Project Phase:	Initiation

Project % Complete:

Complete 28 %

Remaining 72 %

Budget: All Hosting Projects

Total Budget:	$1,326,887
Spent to Date:	$1,149,455
Remaining:	$185,549

Spent 87%

Remaining 13%

Overview:

Began installation and configuration of the 9300s

Key Accomplishments

- Re-architected the network based on changes required due to the implementation of the 9300s
- Procured and installed cabling required due to the new architecture
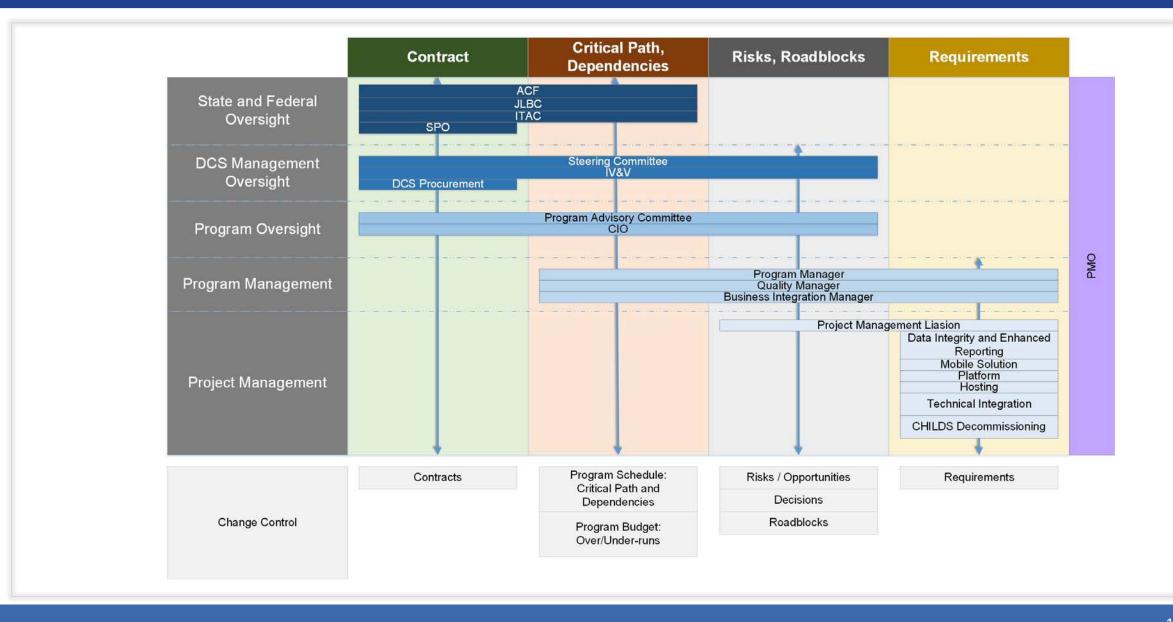
Risks/Issues

Issue:
- 9300s are able to connect to each other, which may be caused by a cabling problem. Will address on 3/12, when all resources are available.

Upcoming Accomplishments

- Install and configure 9300
- Procure additional required switches

ACF | **JLBC** | **ITAC**

Steering Committee | **DCS Management**

Program Manager

Project Teams; Business Teams; Contractor Teams

Program Projects

- Enterprise Content Management
- Mobile Solution
- Platform
- Hosting

- Technical Integration
  - Includes Data Exchanges, Data Warehouse, and Functional Components
- CHILDS Decommissioning

Program Support and Services

- Planning [complete]
- Feasibility Study [complete]

- IV&V
- Program Management\
- Quality Management
- Business Integration

Current Development Estimate at Complete by Fiscal Year

	SFY15	SFY16	SFY17	SFY18	SFY19	SFY20	EAC (Estimate at Complete)
Planning and Procurement Cycles	$ 236,627	$ 314,593	$ 599,942	$ -	$ -	$ -	$ 1,151,163
Feasibility Study		$ 616,998	$ -	$ -	$ -	$ -	$ 616,998
Data Management Assessment			$ 625,307	$ -	$ -	$ -	$ 625,307
Program Management			$ 1,185,035	$ 3,709,930	$ 5,227,205	$ 3,233,679	$ 13,355,849
Business Integration			$ 1,070,367	$ 2,700,316	$ 2,708,809	$ 1,843,363	$ 8,322,855
Mobile Solution			$ 1,434,041	$ 1,908,124	$ -	$ -	$ 3,342,165
IV&V			$ 107,460	$ 187,200	$ 222,768	$ 93,600	$ 611,028
Quality Management			$ 26,078	$ 2,028,800	$ 1,455,200	$ 655,200	$ 4,165,278
Platform			$ 2,147,482	$ 1,474,838	$ 40,988	$ -	$ 3,663,308
Hosting			$ 7,973	$ 1,311,434	$ 7,800	$ -	$ 1,327,208
Enterprise Content Management			$ -	$ 668,625	$ 4,257,572	$ 1,419,191	$ 6,345,388
CHILDS Decommissioning			$ -	$ -	$ 1,854,025	$ 927,013	$ 2,781,038
Technical Integration			$ -	$ 2,361,395	$ 20,116,501	$ 10,969,644	$ 33,447,540
Other (Data Exchanges, Courts, Education			$ 6,035	$ 186,271	$ 51,000	$ 51,000	$ 294,306
Permanency			$ -	$ -	$ -	$ -	$ -
Assessment			$ -	$ -	$ -	$ -	$ -
Intake / Hotline			$ -	$ -	$ -	$ -	$ -
Provider Management			$ -	$ -	$ -	$ -	$ -
Data Warehouse			$ -	$ -	$ -	$ -	$ -
Case Management			$ -	$ -	$ -	$ -	$ -
Financial Management			$ -	$ -	$ -	$ -	$ -
Total	**$ 236,627**	**$ 931,591**	**$ 7,209,721**	**$ 16,536,934**	**$ 35,941,868**	**$ 19,192,689**	**$ 80,049,430**
Margin				$ 885,670	$ 3,348,222	$ 1,804,754	$ 6,038,646
Total Costs	**$ 236,627**	**$ 931,591**	**$ 7,209,721**	**$ 17,422,604**	**$ 39,290,089**	**$ 20,997,444**	**$ 86,088,076**
Program Approved Budget							**$ 86,088,076**
(Over)/Under							**$ -**

State Funding

	Appropriated	Favorably Reviewed	DOA Transferred
Total FY15 Appropriated	$ 5,000,000	$ 5,000,000	$ 5,000,000
Total FY17 Appropriated	$ 4,581,600	$ 4,581,600	$ 4,581,600
Total FY18 Appropriated	$ 11,103,000	$ 4,818,600	$ -
Total	$ 20,684,600	$ 14,400,200	$ 9,581,600

Federal Funding

	Appropriated	Approved to Spend	Available to spend
Federal Title IV - E Match available (50/50)**	$ 23,936,532	$ 23,936,532	$ 9,581,600

Actuals and Encumbrances

APF Spent/Encumbered		Federal Spent/Ecumbered	
CH15002 (Planning and Procurement)	$ 299,971	CH15002 (Planning and Procurement)	$ 851,192
CH15004 (Feasibility Study)*	$ 215,915	CH15004 (Feasibility Study)	$ 401,083
CH17002 (Guardian)	$ 7,409,859	CH17002 (Guardian)	$ 7,409,859
Current Costs Encumbered***	$ 1,881,863	Current Costs Encumbered***	$ 1,881,863
Total APF Spent/Encumbered	$ 9,807,608	Total Federal Funds Spent/Encumbered	$ 10,543,997

Total

Approved vs Actual & Encumbered		Available vs Actuals	
Total Funds Approved to Spend	$ 38,336,732	Total Funds Available to Spend	$ 19,163,200
Total Encumbered***	$ 3,763,726	Total Funds Spent	$ 16,587,879
Total Spent	$ 16,587,879		
Total Approved	$ 17,985,127	Total Funds Remaining	$ 2,575,321

*Per DCS JLBC Legislative Liaison, the remaining $97k from the feasibility study (CH15004), does not require favorable review to spend on the rest of the Guardian Program

*$29 APF balance remaining from the Planning and Procurement project (CH15002)

**The Federal Title IV-E Funding will always be equal to available APF as DCS is approved for 50/50 match

***Current Costs encumbered is derived from all formally issued POs as of 2/28/18. Encumbered costs will be reduced once invoices against those costs are officially paid

Financial Health:
The current fiscal health of the program is green. All FY17 monies have been transferred to the program. FY18 favorably reviewed fund will be requested in April/May