Our Vision:
Children thrive in family environments free from abuse and neglect.

Our Mission:
Successfully engage children and families to ensure safety, strengthen families, and achieve permanency.

CHILDS Replacement Program (Guardian)

State of Arizona – Department of Child Safety

April 18, 2018

Status Through: March 30, 2018

Presentation to ITAC

Contents

Guardian: Program Snapshot

Guardian: Program Status Update

Guardian: Program Roadmap

Additional Information

- Mobile Status
- Technical Integration Status
- Hosting Status
- Program Governance
- Program Projects, Support and Services
- Current Development Estimate at Complete by Fiscal Year
- Current Financial Position

Guardian: Program Snapshot

Overview:

- Installation of 9300s is currently having some issues and work has been paused until a mitigation is in place (Issue Identified)
- Completed the installation and configuration of OLR and working on final historical data migration and testing
- Components Intake, Assessment and Case Management, Permanency and Financial Management Draft User Stories completed and ready for validation

Guardian Program		Status			Completion Rate		
Program Status	Project	State	R/A/G	Status	Overall %	Trending	Current Project Phase
Y	Platform	COMPLETE	*	COMPLETE | 07.14.17	100%	*	Phase 5: Final
	Mobile	COMPLETE	*	COMPLETE | 12.16.17	100%	*	Phase 5: Final
	Hosting (9300 Install)	Active	🔴	IN PROGRESS	51%	➡️	Phase 4: Delivery
	Technical Integration	Active	🟡	IN PROGRESS	12%	➡️	Phase 3: Initiation
	Data Management	Active	🟡	IN PROGRESS	4%	*	Phase 3: Initiation
	CHILDS Decommissioning	NOT STARTED	*	NOT STARTED	*	*	*

Status:

This Month: **Yellow**

Last Month: **Yellow**

Trending: ➡️

Project % Complete:

Complete 25 %

Remaining 75 %

Budget:

Total Budget: $86,088,074

Spent to Date: $17,778,522

Remaining: $68,309,554

Budget:

Spent 21 %

Remaining 79 %
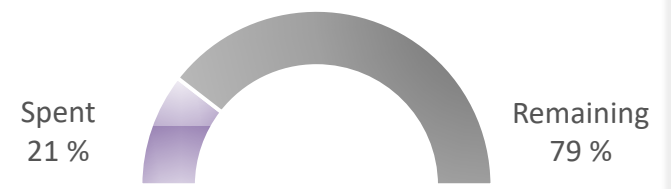
Guardian: Program Status Update

Key Accomplishments

Technical Integrator
- Draft business requirements for Permanency and Financial Management delivered to business leads for review
- On-boarded assessments Guardian Specialist; data architect; and data analyst
- Conducted Intake All-Hands business requirements review
- Reviewed Assessments and Case Management business requirements with PAC
- Delivered Assessments and Case Management business requirements to SMEs for review
- Completed Child Welfare Policy and Process Map documentation to support User Story development for Permanency and Provider Management
- Guardian Huddle Board was Completed

Hosting
- No Updates

ECM
- Completed migration of historical files for the years 2007 – 2018 for OLR FHL Faxes
- Rolled-out to a total of 20 agencies to-date
- Completed build of the Test environment

Data Management
- Hired new data analyst for Enterprise Information Management solution
- Began gathering CHILDS physical data structure inventory
- Delivered Universal ID Concept Diagram and Data Exchange vision
- Delivered EIM Project documentation for review – EIM charter / EIM communication plan

Upcoming Accomplishments

Technical Integration
- Onboard 3 Guardian Specialists for Case Management, Reports, and Non-Functional components on April 9th. Intake Guardian Specialist is onboarding on April 23rd.
- Hire remaining Guardian Specialists for Provider Management in April
- Complete Child Welfare Policy and Process Map documentation to support business requirements for Intake and Assessments in April
- Complete business requirements review with SMEs for Intake and Assessments in April
- Conduct all hands business requirements review session for Case Management in April
- Complete business requirements review with business leads and PAC for Permanency in April
- Deliver draft of Eligibility, Common and Provider Management business requirements to business leads for review in April

Hosting
- Finish installing and configure the 9300s by end of April

ECM
- Complete migration of historical files for an additional 1,000 files identified by the business
- Complete testing of ADFS and related tasks
- Roll-out to remaining agencies
- Close project

Data Management
- Develop CHILDS application system content diagram
- Inventory CHILDS physical data structures
- Capture Data content assessment of CHILDS data
- Data quality plan / strategy
- Inventory CCWIS, FERPA, HIPPA detailed data requirements

Guardian: Program Road Map
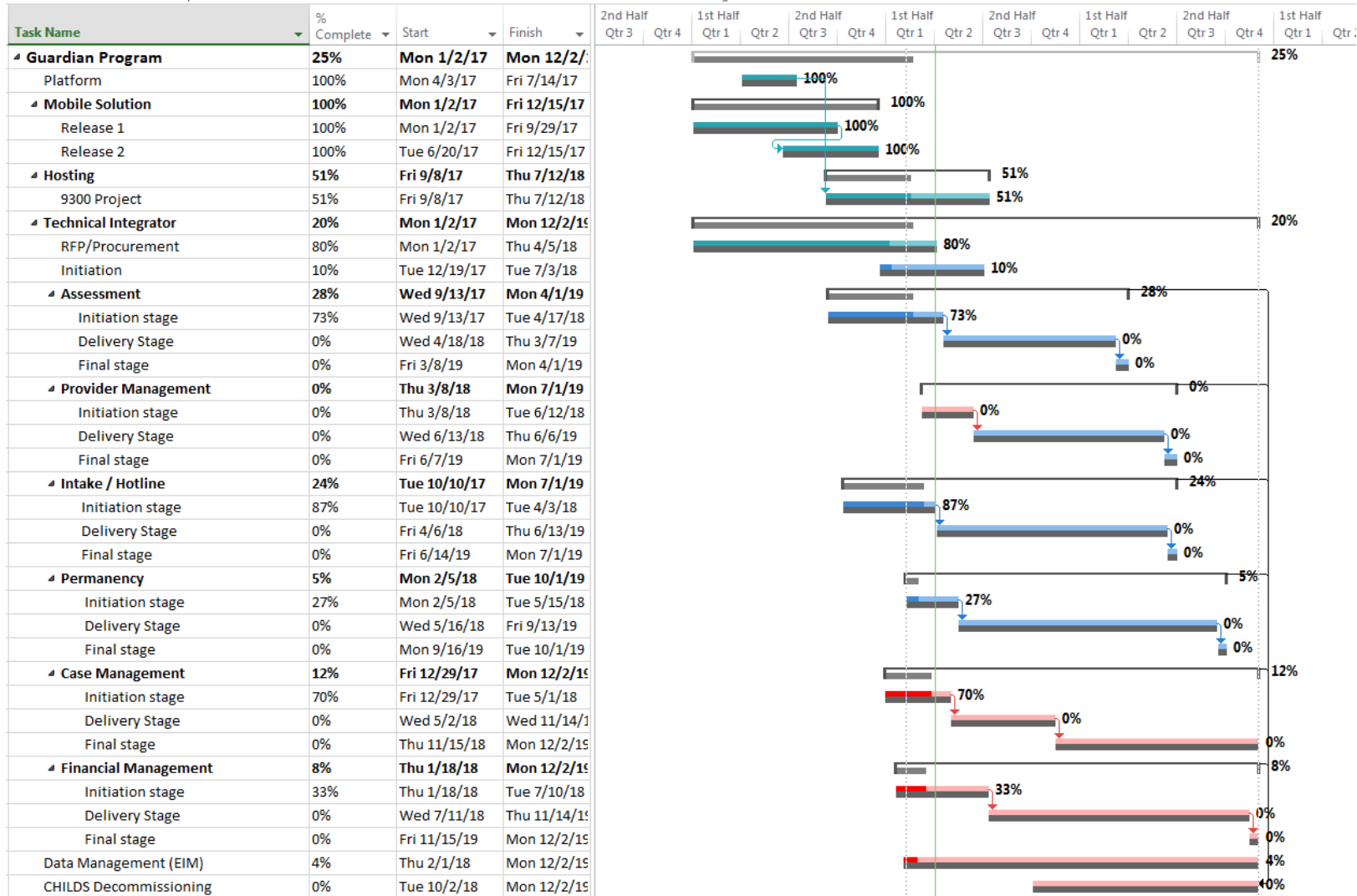
Additional Information

- Mobile Status
- Technical Integration Status
- Hosting Status
- Program Governance
- Program Projects, Support and Services
- Current Program Development Forecast: by Fiscal Year / Project
- Current Program Financial Position

Guardian: Mobile

Status:

This Month: **Green**

Last Month: **Green**

Project Phase: Complete

Project % Complete:

Complete 100 %

Remaining 0 %

Budget:

Total Budget: $3,354,832

Spent to Date: $3,044,718

Remaining: $310,114

Budget:

Complete 91 %

Remaining 9 %

Overview:

Release 2 successfully deployed on time in December

Key Accomplishments	Risks/Issues	Upcoming Accomplishments
Release 2 deployed 12/16/17	None	None

Guardian: Technical Integration

Status:

This Month:	**Yellow**
Last Month:	**Yellow**
Project Phase:	Initiation

Project % Complete:

Complete 12 % Remaining 88 %

Budget:

Total Budget:	$33,533,536
Spent to Date:	$188,646
Remaining:	$33,576,667

Budget:

Complete 0.56 % Remaining 99.44 %

Overview:

Technical Integrator Sub-Projects: Intake, Assessment, Case Management, Finance, Provider Management, Permanency, Enterprise Content Management and Data Management. Current status remains at yellow to reflect Issue #1 – Procurement advisement that RFP award date now delayed to 04/2018 and the delay in hiring the 2 remaining Guardian Specialists – target completion by April 2018

Key Accomplishments

- Draft business requirements for Permanency and Financial Management delivered to business leads for review
- On-boarded assessments Guardian Specialist; data architect; and data analyst
- Conducted Intake All-Hands business requirements review
- Reviewed Assessments and Case Management business requirements with PAC
- Delivered Assessments and Case Management business requirements to SMEs for review
- Completed Child Welfare Policy and Process Map documentation to support User Story development for Permanency and Provider Management

Risks/Issues

- Resource constraint for (4) Guardian Specialists (GS) not hired as planned by week of March 5th; New BA not hired - both / all impacting User Story development schedule
- Work on common user stories is being delayed by the number of review sessions for previous user stories (intake / case management / assessments) and the amount of modifications that are being identified during these sessions
- The Technical Integrator award has been delayed until April 2018 which has an impact on the overall schedule, and is the reason the current status is Yellow
- OCM Lead / Child Welfare Specialist Sara Goscha has resigned from PCG. Her last day on the project will be 4/13/18. Work will need to be transitioned to other team members until it is decided whether to replace her or not.

Upcoming Accomplishments

- Onboard 3 Guardian Specialists for and Case Management, Reports, and Non-Functional components in April
- Hire remaining Guardian Specialists for Intake and Provider Management in April
- Complete Child Welfare Policy and Process Map documentation to support business requirements for Intake and Assessments in April
- Complete business requirements review with SMEs for Intake and Assessments in April
- Conduct all hands business requirements review session for Case Management in April
- Complete business requirements review with business leads and PAC for Permanency in April
- Deliver draft of Eligibility, Common and Provider Management business requirements to business leads for review in April

Guardian: Hosting

Status: (9300 Install Only)

This Month: **Red**

Last Month: **Red**

Project Phase: Initiation

Project % Complete:

Complete 51 %

Remaining 49 %

Budget: All Hosting Projects

Total Budget: $1,326,887

Spent to Date: $1,163,245

Remaining: $163,641

Budget:

Complete 88%

Remaining 12%

Overview:

CenturyLink, the vendor contracted to install and configure the 9300s, is having difficulties installing and configuring the 9300s.
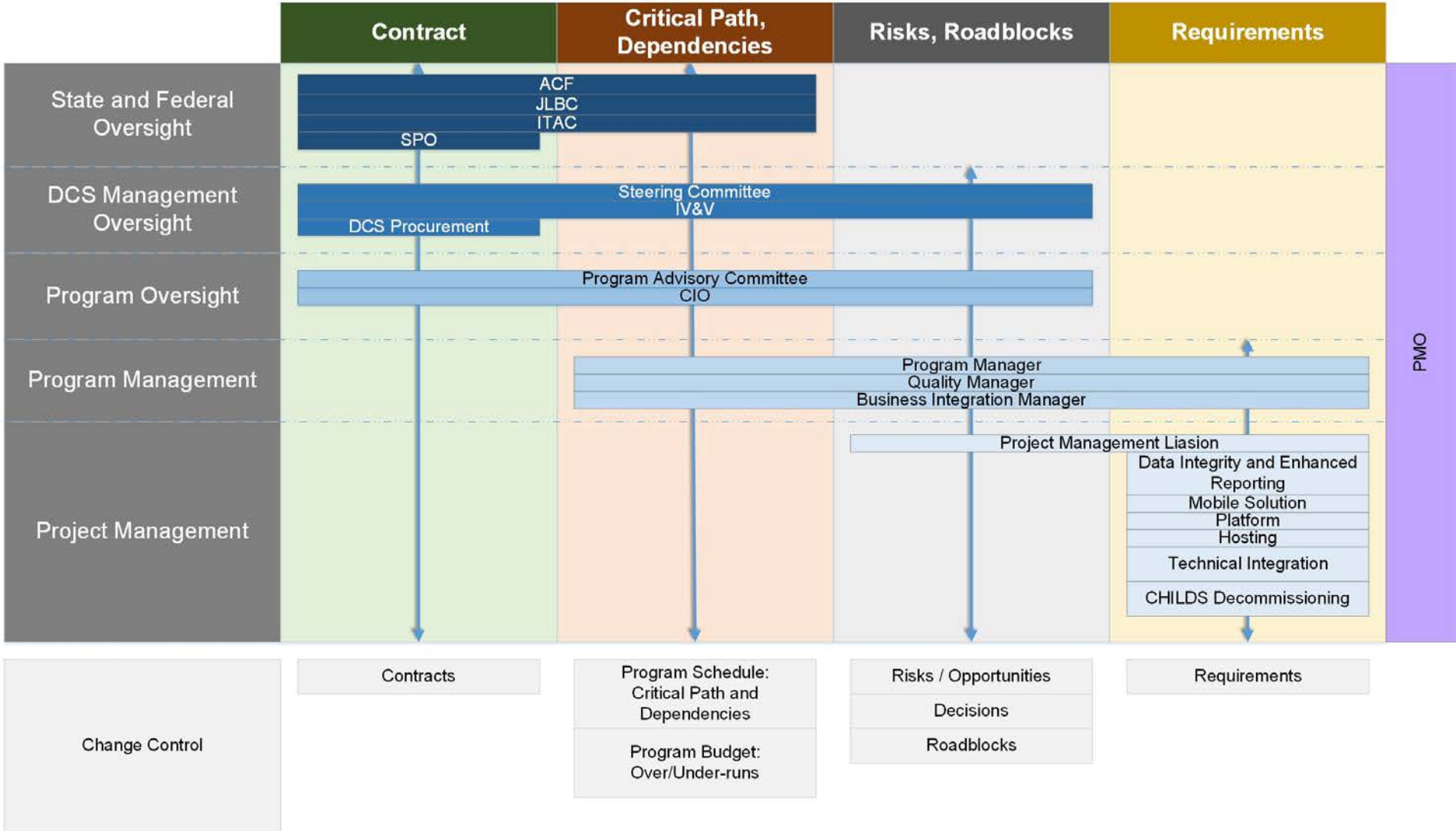
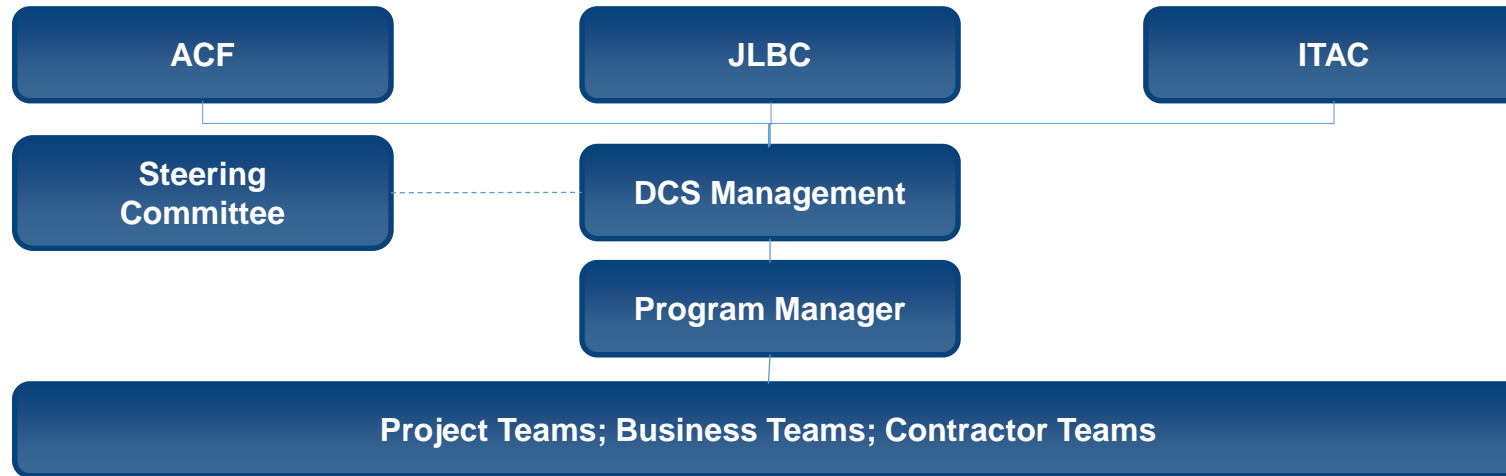| Key Accomplishments	Risks/Issues	Upcoming Accomplishments
• None	Issue: • CenturyLink is having issues with installing the 9300s. Cabling had to be changed on two separate occasions and CenturyLink has requested the cabling be changed again. Work has been halted until all involved parties, DCS, CenturyLink and cStor, meet at a face-to-face meeting scheduled for 4/3.	• Hold face-to-face meeting on 4/3 • Install and configure 9300s

Program Projects, Support and Services

```
        ACF              JLBC              ITAC

Steering            DCS Management
Committee

                    Program Manager

        Project Teams; Business Teams; Contractor Teams
```

Program Projects

- Enterprise Content Management
- Mobile Solution
- Platform
- Hosting

- Technical Integration
  - Includes Data Exchanges, Data Warehouse, and Functional Components
- CHILDS Decommissioning

Program Support and Services

- Planning [complete]
- Feasibility Study [complete]

- IV&V
- Program Management\
- Quality Management
- Business Integration

	SFY15	SFY16	SFY17	SFY18	SFY19	SFY20	EAC (Estimate at Complete)	Baseline	(Over)/Under
Planning and Procurement Cycles	$ 236,627	$ 314,593	$ 599,942	$ -	$ -	$ -	$ 1,151,163	$ 1,151,163	$ -
Feasibility Study		$ 616,998	$ -	$ -	$ -	$ -	$ 616,998	$ 616,998	$ -
Data Management Assessment			$ 625,307	$ -	$ -	$ -	$ 625,307	$ 625,307	$ -
Program Management			$ 1,185,035	$ 3,551,696	$ 5,227,205	$ 3,233,679	$ 13,197,615	$ 13,355,849	$ 158,234
Business Integration			$ 1,070,367	$ 2,700,315	$ 2,708,809	$ 1,843,363	$ 8,322,854	$ 8,540,963	$ 218,109
Mobile Solution			$ 1,434,041	$ 1,908,124	$ -	$ -	$ 3,342,165	$ 3,354,832	$ 12,667
IV&V			$ 107,460	$ 187,200	$ 222,768	$ 93,600	$ 611,028	$ 611,028	$ -
Quality Management			$ 26,078	$ 2,361,172	$ 1,455,200	$ 655,200	$ 4,497,650	$ 4,497,650	$ -
Platform			$ 2,147,482	$ 1,474,838	$ 40,988	$ -	$ 3,663,308	$ 3,663,046	$ (262)
Hosting			$ 7,973	$ 1,317,425	$ 7,800	$ -	$ 1,333,198	$ 1,326,887	$ (6,312)
Enterprise Content Management			$ -	$ 469,896	$ 4,272,346	$ 1,419,191	$ 6,161,433	$ 6,345,388	$ 183,955
CHILDS Decommissioning			$ -	$ -	$ 1,854,025	$ 927,013	$ 2,781,038	$ 2,781,038	$ -
Technical Integration			$ -	$ 2,363,322	$ 20,116,501	$ 10,969,644	$ 33,449,467	$ 33,526,887	$ 77,420
Other (Data Exchanges, Courts, Education			$ 6,035	$ 188,171	$ 51,000	$ 51,000	$ 296,206	$ 6,650	$ (289,557)
Permanency			$ -	$ -	$ -	$ -	$ -		$ -
Assessment			$ -	$ -	$ -	$ -	$ -		$ -
Intake / Hotline			$ -	$ -	$ -	$ -	$ -		$ -
Provider Management			$ -	$ -	$ -	$ -	$ -		$ -
Data Warehouse			$ -	$ -	$ -	$ -	$ -		$ -
Case Management			$ -	$ -	$ -	$ -	$ -		
Financial Management			$ -	$ -	$ -	$ -	$ -		
Total	$ 236,627	$ 931,591	$ 7,209,721	$ 16,522,160	$ 35,956,642	$ 19,192,689	$ 80,049,430	$ 80,403,685	$ 354,255
Margin				$ 885,670	$ 3,348,222	$ 1,804,754	$ 6,038,646		
Total Costs	$ 236,627	$ 931,591	$ 7,209,721	$ 17,407,830	$ 39,304,863	$ 20,997,444	$ 86,088,076		
Program Approved Budget							$ 86,088,076		
(Over)/Under							$ -		

Current Financial Position

State Funding

	Appropriated	Favorably Reviewed	DOA Transferred
Total FY15 Appropriated	$ 5,000,000	$ 5,000,000	$ 5,000,000
Total FY17 Appropriated	$ 4,581,600	$ 4,581,600	$ 4,581,600
Total FY18 Appropriated	$ 11,103,000	$ 4,818,600	$ -
Total	$ 20,684,600	$ 14,400,200	$ 9,581,600

Federal Funding

	Appropriated	Approved to Spend	Available to spend
Federal Title IV - E Match available (50/50)**	$ 23,936,532	$ 23,936,532	$ 9,581,600

Actuals and Encumbrances

APF Spent/Encumbered		Federal Spent/Ecumbered	
CH15002 (Planning and Procurement)	$ 299,971	CH15002 (Planning and Procurement)	$ 851,192
CH15004 (Feasibility Study)*	$ 215,915	CH15004 (Feasibility Study)	$ 401,083
CH17002 (Guardian)	$ 8,005,181	CH17002 (Guardian)	$ 8,005,181
Current Costs Encumbered***	$ 1,367,469	Current Costs Encumbered***	$ 1,367,469
Total APF Spent/Encumbered	$ 9,888,535	Total Federal Funds Spent/Encumbered	$ 10,624,924

Total

Approved vs Actual & Encumbered		Available vs Actuals	
Total Funds Approved to Spend	$ 38,336,732	Total Funds Available to Spend	$ 19,163,200
Total Encumbered***	$ 2,734,937	Total Funds Spent	$ 17,778,522
Total Spent	$ 17,778,522		
Total Approved	$ 17,823,273	Total Funds Remaining	$ 1,384,678

*Per DCS JLBC Legislative Liaison, the remaining $97k from the feasibility study (CH15004), does not require favorable review to spend on the rest of the Guardian Program

*$29 APF balance remaining from the Planning and Procurement project (CH15002)

**The Federal Title IV-E Funding will always be equal to available APF as DCS is approved for 50/50 match

***Current Costs encumbered is derived from all formally issued POs as of 3/30/18. Encumbered costs will be reduced once invoices against those costs are officially paid

Financial Health:
The current fiscal health of the program is green. All FY17 monies have been transferred to the program. FY18 favorably reviewed fund will be requested in April/May