



Our Vision:

Children thrive in family environments free from abuse and neglect.

Our Mission:

Successfully engage children and families to ensure safety, strengthen families, and achieve permanency.

CHILDS Replacement Program (Guardian)

State of Arizona – Department of Child Safety

August 29, 2018

Status Through: July 31, 2018



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Guardian: Program Snapshot

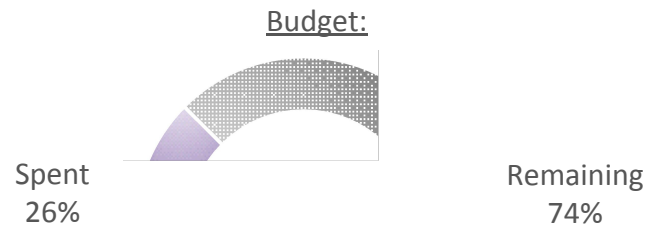


Overview: Solution modeling started for Intake and Person Management
Re-planning start in August

| Guardian Program | | Status | | | Completion Rate | | |
|------------------|-------------------------------------|-------------|-------|---------------------|-----------------|----------|-----------------------|
| Program Status | Project | State | R/A/G | Status | Overall % | Trending | Current Project Phase |
| Y | Platform | COMPLETE | * | COMPLETE 07.14.17 | 100% | * | Phase 5: Final |
| | Mobile | COMPLETE | * | COMPLETE 12.16.17 | 100% | * | Phase 5: Final |
| | Hosting (9300 Install) | COMPLETE | * | COMPLETE 06.07.18 | 100% | * | Phase 5: Final |
| | Technical Integration | Active | ● | IN PROGRESS | 26% | → | Phase 3: Initiation |
| | Data Management | Active | ● | IN PROGRESS | 1% | ↑ | Phase 3: Initiation |
| | Enterprise Content Management (ECM) | Active | ● | IN PROGRESS | 1% | → | Phase 3: Initiation |
| | CHILDS Decommissioning | NOT STARTED | * | NOT STARTED | * | * | * |

Budget:

Planned : \$86,088,076
Expenditure: \$22,481,702
Forecast: \$63,606,374



Guardian: Program Status Update



Activities Completed

Program Level:

- Begin strategy for outsourced training
- 7/19 – Approval from fed for Technical integrator
- 7/19 – Approval from feds for APD submission extension to 9/30
- 7/17 – final training RFP to procurement
- 7/20 – kick off for re-planning effort
- 7/23 – Re-planning efforts underway
- 7/23 – User Story review efforts underway
- Revised timeline drafted, presented to PAC/Steering Committee

Upcoming Activities

Program Level

- 7/23 – 8/3 - project Re-planning
- 7/30 – Data Quality Plan Childs, Data Strategy – DELAYED re-planning (8/30)
- 7/30 – Initial Testing Strategy – DELAYED re-planning (8/30)
- 7/30 – Program charter, communications plan (8/30)
- 8/6 - 8/31- project re-planning efforts continue
- 8/15 – integrated schedule – depend on re-plan
- 9/5 – Post Training RFP

Guardian: Technical Integration



Status:

This Month: Yellow

Last Month: Yellow

Project Phase: Initiation

Project % Complete:

Complete
26 %

Remaining
74 %

Budget:

Total Budget: \$33,533,536

Spent to Date: \$2,956,501

Remaining: \$40,533,031

Budget:

Complete
9%

Remaining
91%

Overview:

Work continued on user story completion / to-be process maps / CWPPM deliverable. Solution Modeling workshops being held for Intake. On 7/19 program leadership decided to suspend all work on solution modeling until a formal re-planning and re-budgeting exercise could be completed. Significant progress has been made on this exercise during the last two weeks of July and is scheduled to complete by 8/7.

- ### Completed Activities
- Determined that staffing will be evaluated to address CMDP case management outside of the Guardian TI project
 - Completed CWPPM for Intake and Provider Management
 - Finalized Finance and Eligibility to-be process maps
 - Completed listing of top 50 reports
 - Completed Forms validation for Intake
 - Conducted first round of re-planning user story review meetings
 - Obtained resource for completion of CWPPM

- ### Risks/Issues
- The condensed timeframe for the re-planning effort means that the team will not necessary have enough time to fully explore all aspects of the scope of Guardian which will lead to an incomplete integrated schedule and budget.
 - The re-planning effort has not yet occurred and an integrated scheduled / updated budget for FY20 is due to JLBC the third week of August

- ### Upcoming Activities
- Complete re-planning user story review sessions
 - Complete re-planning / re-budgeting effort
 - Finalize schedule for remaining CWPPM work
 - Validate forms listing for Assessments / Case Management
 - Validate forms listing for Provider Management
 - Validate forms listing for HIPPA/Ombudsman
 - Complete listing of second 50 reports

Guardian: Data Management



Status:

This Month: Yellow

Last Month: Yellow

Project Phase: Initiation

Initiation Phase:



Budget:

Current Data Management Assessment Budget is part of The technical integration budget. It has not been broken out separately at this time

Overview:

Worked on traceability of exchanges on the DEAR list and participated in the re-planning effort with Microsoft.

Key Accomplishments

- Completed CHILDS DB2 traceability to subject areas and Guardian Components
- Completed the Reports list on the DEAR file and distributed it to the stakeholders
- Finalized Data Exchanges traceability to the user stories
- Defined the migration environments

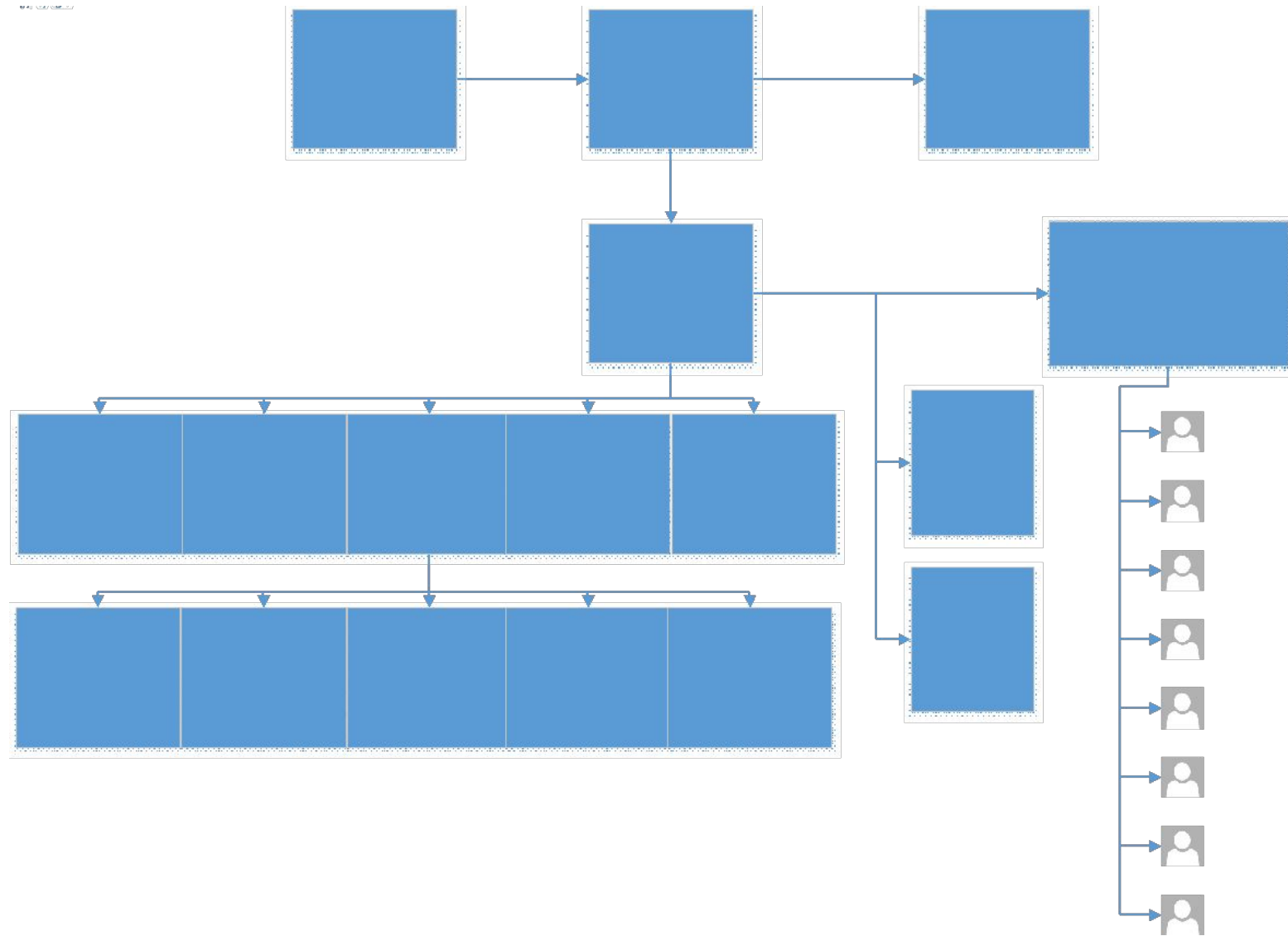
Risks/Issues

- The data management teams proposed approach for data management is focused on mitigating risk from Legacy data quality issues by leveraging a systematic and scalable set of governance processes. The approach is optimal when executed “just in time” with the TI implementation efforts. If those efforts are conducted in multiple streams, the DM team will need to be scaled out accordingly.
 - Understanding the re-planning effort is necessary to define how to scale the data management team.

Upcoming Activities

- Complete re-planning effort w Microsoft
- Data Migration presentation
- Draft of Business data modeling for (Person Mgmt) will be ready for review
- Complete new Data Management project schedule for review
- Draft of CHILDS Data Quality plan ready for review

Program Structure



Current Development Estimate at Complete by Fiscal Year



| | SFY15 | SFY16 | SFY17 | SFY18 | SFY19 | SFY20 | EAC (Estimate at Complete) |
|---------------------------------|---------------------|---------------------|------------------------|------------------------|------------------------|------------------------|----------------------------|
| Planning and Procurement Cycles | \$ 236,627 | \$ 314,593 | \$ 599,942 | \$ - | \$ - | \$ - | \$ 1,151,163 |
| Feasibility Study | | \$ 616,998 | \$ - | \$ - | \$ - | \$ - | \$ 616,998 |
| Data Management Assessment | | | \$ 625,307 | \$ - | \$ - | \$ - | \$ 625,307 |
| Program Management | | | \$ 1,185,035 | \$ 2,799,270 | \$ 2,933,038 | \$ 2,674,560 | \$ 9,591,903 |
| Business Integration | | | \$ 1,070,367 | \$ 2,450,314 | \$ 2,458,809 | \$ 2,831,744 | \$ 8,811,233 |
| Mobile Solution | | | \$ 1,434,041 | \$ 1,611,003 | \$ 311,325 | \$ - | \$ 3,356,369 |
| M&V | | | \$ 107,460 | \$ 187,200 | \$ 222,768 | \$ 228,000 | \$ 745,428 |
| Quality Management | | | \$ 26,078 | \$ 1,419,651 | \$ 1,200,860 | \$ 1,282,852 | \$ 3,929,441 |
| Platform | | | \$ 2,147,482 | \$ 1,218,300 | \$ 247,367 | \$ 132,817 | \$ 3,745,967 |
| Hosting | | | \$ 7,973 | \$ 1,200,145 | \$ 113,363 | \$ 10,659 | \$ 1,332,141 |
| Enterprise Content Management | | | \$ - | \$ 187,983 | \$ 2,880,728 | \$ 2,838,381 | \$ 5,907,093 |
| CHILDS Decommissioning | | | \$ - | \$ - | \$ 1,703,989 | \$ 927,013 | \$ 2,631,001 |
| Technical Integration | | | \$ 6,035 | \$ 2,382,391 | \$ 20,938,215 | \$ 20,317,391 | \$ 43,644,033 |
| Data Management* | | | \$ - | \$ - | \$ - | \$ - | \$ - |
| Total | \$236,627.00 | \$931,591.49 | \$ 7,209,720.85 | \$13,456,258.01 | \$33,010,461.54 | \$31,243,417.11 | \$ 86,088,076 |
| Program Approved Budget | | | | | | | \$ 86,088,076 |
| (Over)/Under | | | | | | | \$ - |

- *Data Management budget is currently within Technical Integrator. Will be broken out during re-planning efforts
- Program is going through re-planning

Current Financial Position



| State Funding | | | |
|-------------------------|----------------------|----------------------|----------------------|
| APF | | | |
| | Appropriated | Favorably Reviewed | DOA Transferred |
| Total FY15 Appropriated | \$ 5,000,000 | \$ 5,000,000 | \$ 5,000,000 |
| Total FY17 Appropriated | \$ 4,581,600 | \$ 4,581,600 | \$ 4,581,600 |
| Total FY18 Appropriated | \$ 11,103,000 | \$ 4,818,600 | \$ 4,818,600 |
| Total FY19 Appropriated | \$ 5,000,000 | \$ - | \$ - |
| Total APF | \$ 25,684,600 | \$ 14,400,200 | \$ 14,400,200 |

| General Fund | |
|-----------------------------------|----------------------|
| Fiscal Year | Planned |
| FY15 | \$ 236,627 |
| FY16 | \$ 499,761 |
| Total General Fund | \$ 736,388 |
| Total of All State Funding | \$ 15,136,588 |

| Federal Funding | | | |
|--|---------------|-------------------|--------------------|
| | Appropriated | Approved to Spend | Available to spend |
| Federal Title IV - E Match available (50/50) | \$ 23,936,532 | \$ 23,936,532 | \$ 14,400,200 |

| Actuals | | | |
|------------------------------------|----------------------|------------------------------------|----------------------|
| APF/GF Spent/Encumbered | | Federal Spent/Encumbered | |
| CH15002 (Planning and Procurement) | \$ 851,192 | CH15002 (Planning and Procurement) | \$ 299,971 |
| CH15004 (Feasibility Study) | \$ 401,083 | CH15004 (Feasibility Study) | \$ 215,915 |
| CH17002 (Guardian) | \$ 10,333,370 | CH17002 (Guardian) | \$ 10,333,370 |
| Total APF/GF Spent | \$ 11,585,645 | Total Federal Funds Spent | \$ 10,849,257 |

| Total Fund Remaining | |
|--------------------------------|---------------------|
| Total Funds Available to Spend | \$ 29,536,788 |
| Total Funds Spent | \$ 22,434,902 |
| Total Funds Remaining | \$ 7,101,887 |

- Per DCS JLBC Legislative Liaison, the remaining \$97k from the feasibility study (CH15004), does not require favorable review to spend on the rest of the Guardian Program
- \$29 APF balance remaining from the Planning and Procurement project (CH15002)
- The Federal Title IV-E Funding will always be equal to available APF as DCS is approved for 50/50 match
- Current Costs encumbered is derived from all formally issued POs as of 5/31/18. Encumbered costs will be reduced once invoices against those costs are officially paid

Financial Health:

- The current financial position for the program is green. The next planned JLBC favorable review for the remaining FY18 funds is planned for September 2018
- Remaining FY18 Funds were transferred to the program in Jun 2018